



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

X
In re Patent application of: :
Manne Satyanarayana REDDY et al. :
Application No.: 10/653,694 :
Filed: September 2, 2003 :
For: PROCESS FOR PREPARATION OF :
CRYSTALLINE FORM-1 OF ... :

X

Date: November 5, 2004
Atty. Docket No.: BULK 3.0-030
(Former Docket No. U 014801-0)

Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

TRANSMITTAL LETTER

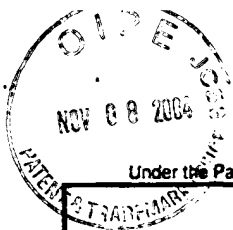
The owners of this application wish to formally appoint attorneys for further proceedings, and therefore are enclosing Forms PTO/SB/80 and PTO/SB/96, plus a copy of an assignment that was executed by the inventors.

Please change the address for correspondence to that of **Customer No. 45776**, and enter the new attorneys' **Docket No. BULK 3.0-030**.

Respectfully submitted,

Robert A. Franks
Attorney for Applicants
Reg. No. 28,605

Dr. Reddy's Laboratories, Inc.
200 Somerset Corporate Blvd.
Seventh Floor
Bridgewater, NJ 08807-2862
Telephone 908-203-6504
Facsimile 908-203-6515



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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Manne Satyanarayana REDDY et al.

Application No./Patent No.: 10/653,694 Filed/Issue Date: September 2, 2003

Entitled: PROCESS FOR PREPARATION OF CRYSTALLINE FORM-1 OF PANTOPRAZOLE SODIUM ...

Dr. Reddy's Laboratories, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or

2. ☒ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is 50 %
in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: The six inventors To: Dr. Reddy's Laboratories, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Robert A. Franks

Signature

Robert A. Franks

Printed or Typed Name

Attorney for Dr. Reddy's Laboratories, Inc.

Title

Nov. 5, 2004

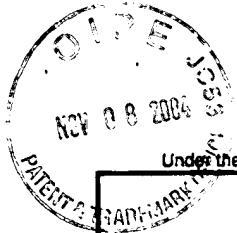
Date

908-203-6504

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Applicant/Patent Owner: Manne Satyanarayana REDDY et al.

Application No./Patent No.: 10/653,694 Filed/Issue Date: September 2, 2003

Entitled: PROCESS FOR PREPARATION OF CRYSTALLINE FORM-1 OF PANTOPRAZOLE SODIUM ...

Dr. Reddy's Laboratories Limited, a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is 50 %
in the patent application/patent identified above by virtue of either:

A. [] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: The six inventors To: Dr. Reddy's Laboratories Limited
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Robert A. Franks
Signature
Robert A. Franks
Printed or Typed Name
Attorney for Dr. Reddy's Laboratories Limited
Title

Nov. 5, 2004
Date
908-203-6504
Telephone Number

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PTO/SB/80 (12-03)

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POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby appoint:



Practitioners associated with the Customer Number:

45776

OR



Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).**Assignee Name and Address:**

Dr. Reddy's Laboratories, Inc.

Seventh Floor

200 Somerset Corporate Boulevard

Bridgewater, New Jersey 08807-2862

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	Andrew J. Miller			
Signature		Date	August 5, 2004	
Title	Executive Vice President		Telephone	908-203-4949

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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I hereby appoint:

☒ Practitioners associated with the Customer Number:

45776

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).**Assignee Name and Address:**

Dr. Reddy's Laboratories Limited

7-1-27 Amcerpet


Hyderabad 500 016, Andhra Pradesh

India

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	Andrew J. Miller	Date	August 5, 2004
Signature		Telephone	908-203-4949
Title	Board Designee		

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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For: ☐ U.S. Application or
☐ U.S. Provisional Application
For: ☒ U.S. Patent
For: ☒ PCT Application
By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):

Manne Satyanarayana Reddy

(type or print name of inventors)

7-1-27 Ameerpet, Hyderabad 500 016 IndiaAddressIndian

Nationality

Sajja Eswaraiah7-1-27 Ameerpet, Hyderabad 500 016 IndiaAddressIndian

Nationality

Govindan Shanmugam7-1-27 Ameerpet, Hyderabad 500 016 IndiaAddressIndian

Nationality

Pondichetty Anilkumar7-1-27 Ameerpet, Hyderabad 500 016 IndiaAddressIndian

Nationality

Elati Ravi Ram Chandrashekar7-1-27 Ameerpet, Hyderabad 500 016 IndiaAddressIndian

Nationality

Added page(s) for signature by subsequent inventors. Number of pages added

1

ADDED PAGE TO ASSIGNMENT FOR SIGNATURE BY SUBSEQUENT INVENTORS

ASSIGNORS (Inventors):

Vijayavitthal Thippannachar Mathad

7-1-27 Ameerpet, Hyderabad 500 016 India

(type or print name of inventors)

Address

Indian

Nationality

Address

Nationality

Address

Nationality

Address

Nationality

Address

Nationality

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(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)

Recorded on _____

Reel _____

Frame _____

hereby sells, assigns and transfers to
ASSIGNEE

BEST AVAILABLE COPY

DR. REDDY'S LABORATORIES LIMITED

7-1-27 Ameerpet, Hyderabad 500 016 India

(type or print name of ASSIGNEE)

Address

Indian

Nationality

&

DR. REDDY'S LABORATORIES INC.

ONE PARK WAY

(type or print name of ASSIGNEE)

Address

Indian

Nationality

UPPER SADDLE RIVER, NJ 07458

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒

the entire right, title and interest

☐

an undivided _____ percent (_____ %) interest for the United States
and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☒

and in all foreign countries, including all rights to claim priority, in and to any and all
improvements which are disclosed in the invention entitled:

AN IMPROVED PROCESS FOR PREPARATION OF CRYSTALLINE FORM-1 OF

PANTOPRAZOLE SODIUM SESQUIHYDRATE

Name of inventor(s) Manne Satyanarayana Reddy, Sajja Eswaraiah, Vijayavithal

Thippannachar Mathad, Govindan Shanmugam, Pondichetty Anilkumar,

Elati Ravi Ram Chandrashekar

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. § 3.21)

(a)

☐

U.S. patent application executed on even date herewith

(b)

☐

U.S. patent application executed on _____

(c)

☐

U.S. provisional application naming the above inventor(s) for the above-
entitled invention

☐

To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an
ASSIGNOR signing below, hereby authorize and request my
attorney to insert below the filing date and application number when
they become known.

(d)

☒

U.S. application no. 10/653,694
filed on September 2, 2003

(e)

☐

International application no. PCT/_____/_____

(f)

☐

U.S. patent no. _____ issued _____

☐

A change of address to which correspondence is to be sent regarding
patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Marne Satyanarayana Reddy
(type name of Assignor)

(X) [Signature] this (X) 21/05/2009
Signature of ASSIGNOR date of signing

Sajja Eswarajah
(type name of Assignor)

(X) _____ this (X) _____
Signature of ASSIGNOR date of signing

Govindan Shanmugam
(type name of Assignor)

(X) _____ this (X) _____
Signature of ASSIGNOR date of signing

Pondichetty Anilkumar
(type name of Assignor)

(X) _____ this (X) _____
Signature of ASSIGNOR date of signing

Elati Ravi Ram Chandrashekar
(type name of Assignor)

(X) _____ this (X) _____
Signature of ASSIGNOR date of signing

Vijayavittal Thippennacher Mathad
(type name of Assignor)

(X) _____ this (X) _____
Signature of ASSIGNOR date of signing

(If ASSIGNOR is a legal entity, complete the following information)

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

(also check (g), if foreign application(s) is also being assigned)

- (g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

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IN WITNESS WHEREOF, We have hereunto set hand and seal

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

<u>Mamie Satyanarayana Reddy</u> (type name of Assignor)	<input checked="" type="checkbox"/> Signature of ASSIGNOR	this <input checked="" type="checkbox"/> date of signing
<u>Sajia Eswarajah</u> (type name of Assignor)	<input checked="" type="checkbox"/> Signature of ASSIGNOR	this <input checked="" type="checkbox"/> 19/05/2004 date of signing
<u>Govindan Shanmugam</u> (type name of Assignor)	<input checked="" type="checkbox"/> Signature of ASSIGNOR	this <input checked="" type="checkbox"/> 19/05/2004 date of signing
<u>Pondichetty Anilkumar</u> (type name of Assignor)	<input checked="" type="checkbox"/> Signature of ASSIGNOR	this <input checked="" type="checkbox"/> 19.05.2004 date of signing
<u>Elati Ravi Ram Chandrashekar</u> (type name of Assignor)	<input checked="" type="checkbox"/> Signature of ASSIGNOR	this <input checked="" type="checkbox"/> 19.05.2004 date of signing
<u>Vijayavithal Thiyyannanchar Mathad</u> (type name of Assignor)	<input checked="" type="checkbox"/> Signature of ASSIGNOR	this <input checked="" type="checkbox"/> 19/05/2004 date of signing

(If ASSIGNOR is a legal entity, complete the following information)

(type or print the name of the above person
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